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Electronic Filing System (EFS) Data
Electronic Patent Application Submission
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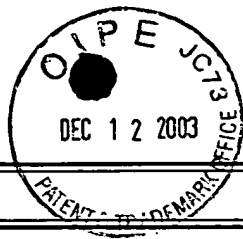
EFS ID: 52216
Application ID: 10064744
Title of Invention: Contingent convertible financial instruments
First Named Inventor: James Birle
Domestic/Foreign Application: Domestic Application
Filing Date: 2002-08-12
Effective Receipt Date: 2003-12-12
Submission Type: Information Disclosure Statement
Filing Type:
Confirmation number: 6609
Attorney Docket Number: MLCO.P-001



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DEC 19 2003
GROUP 3600

Total Fees Authorized:

Digital Certificate Holder: cn=Carl Oppedahl,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US
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**TRANSMITTAL**

Electronic Version v1.1

Stylesheet Version v1.1.0

Title of Invention	Contingent convertible financial instruments								
<table><tr><td>Application Number: 10/064744</td><td rowspan="5">RECEIVED DEC 19 2003 GROUP 3600</td></tr><tr><td>Date: 2002-08-12</td></tr><tr><td>First Named Applicant: Mr. James R. Birle Jr.</td></tr><tr><td>Confirmation Number: 6609</td></tr><tr><td>Attorney Docket Number: MLCO.P-001</td></tr></table>		Application Number: 10/064744	RECEIVED DEC 19 2003 GROUP 3600	Date: 2002-08-12	First Named Applicant: Mr. James R. Birle Jr.	Confirmation Number: 6609	Attorney Docket Number: MLCO.P-001		
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<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Mr. Carl Oppedahl Registered Number: 32,746</td><td>/s/</td><td>Attorney</td></tr></tbody></table>		Submitted by:	Elec. Sign.	Sign. Capacity	Mr. Carl Oppedahl Registered Number: 32,746	/s/	Attorney		
Submitted by:	Elec. Sign.	Sign. Capacity							
Mr. Carl Oppedahl Registered Number: 32,746	/s/	Attorney							
<table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>mlcop001k-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>		Documents being submitted	Files	us-ids	mlcop001k-usidst.xml		us-ids.dtd		us-ids.xsl
Documents being submitted	Files								
us-ids	mlcop001k-usidst.xml								
	us-ids.dtd								
	us-ids.xsl								
Comments We do not believe there is any fee due with this filing. However, if the Commissioner deems necessary, fees related to this filing may be charged to our Deposit Account									



Number 15-0610.

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
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Title of
Invention

Contingent convertible financial instruments

Application Number: 10/064744
Confirmation Number: 6609
First Named Applicant: James Birle
Attorney Docket Number: MLCO.P-001
Search string: (6321212 or 20020099640 or 20020147670 or
20030009406 or 20030115128 or
20030163400).pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6321212	2001-11-20	Lange, Jeffrey			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020099640	2002-07-25	Lange, Jeffrey			
	2	20020147670	2002-10-10	Lange, Jeffrey			
	3	20030009406	2003-01-09	Ross, Ivan			
	4	20030115128	2003-06-19	Lange, et al.			
	5	20030163400	2003-08-28	Ross, et al.			

Signature

Examiner Name	Date